

Figure 1

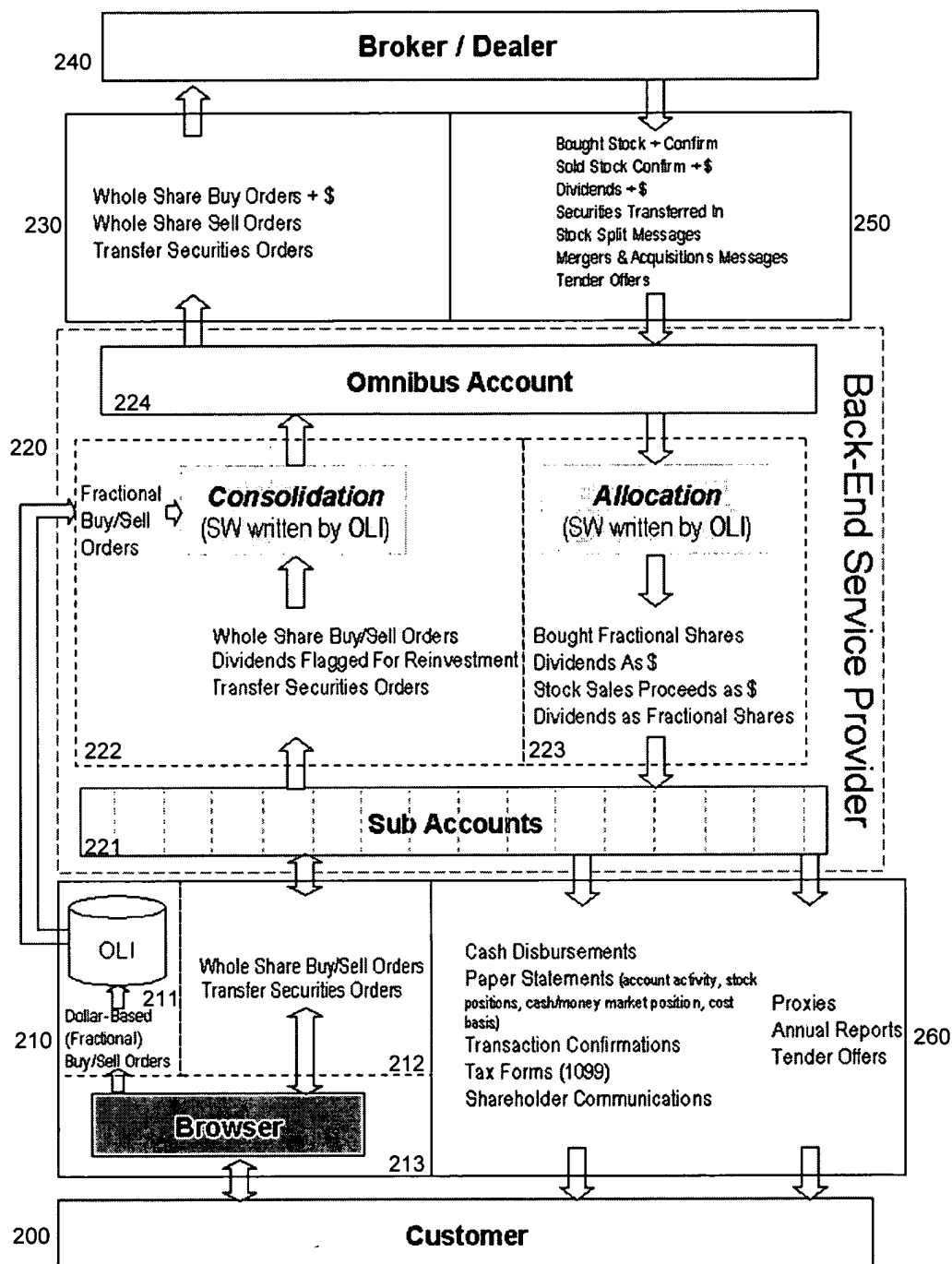


Figure 2

My Stock Fund™
HAVE YOU STARTED THEIR EDUCATION FUND YET?

Credit Union **ONE**

HOME LEARN ABOUT MY STOCK FUND TAKE A TOUR NEW TO INVESTING? FAQs OPEN YOUR ACCOUNT

Customer Sign-In
Stock List
Glossary
Site Map
Contact Us

OPEN YOUR ACCOUNT

Congratulations! You've taken an important first step in securing your financial future.

To open a MyStockFund brokerage account, you'll need to complete, sign and send us two forms: the New Account Form, including the ACH agreement, and a Substitute W-9. We'll walk you through step-by-step. The entire process should take less than ten minutes.

No printer? That's OK. Just complete the forms as instructed. At the end of each form, click the "Print for Me" button. A MyStockFund representative will print the forms and mail them back to you for your signature.

Before you get started...
Take a minute to [print this checklist](#). You'll have a guide to the forms you need to complete and a list of information you may want to have before you begin.

NEW ACCOUNT APPLICATION

Step 1

You need to provide an entry in each required field or we will not be able to establish your brokerage account.

Type of Account
Select the type of account you want to open.

☐ Individual
☐ Joint
☐ Custodial

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Figure 3(a)

My Stock FundSM ONE
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Customer Sign-In
Stock List
Glossary
Site Map
Contact Us

NEW ACCOUNT APPLICATION Step 3 of 8
CONTACT INFORMATION

Tell us how to reach you and where to send account information.

E-mail:

Residence

Phone:

Fax:

Street:

Apartment/Suite:

City:

State:

Zip: - (XXXXX-XXXX)

Mailing Address

☐ Check here if your mailing address is different from your residence, and please enter it here.

Street:

Apartment/Suite:

City:

State:

Zip: - (XXXXX-XXXX)

Employment

If you are self-employed, unemployed or retired, please indicate this in the "Employer" line and use your home address to complete the other fields.

Occupation:

Employer:

Street:

Apartment/Suite:

City:

State:

Zip: - (XXXXX-XXXX)

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Figure 3(b)

The screenshot displays the 'My Stock Fund' website interface. At the top left is a photo of a family. The main header reads 'My Stock Fund' with the tagline 'HAVE YOU STARTED THEIR EDUCATION FUND YET?'. To the right is the 'Credit Union ONE' logo. A navigation bar contains links: HOME, LEARN ABOUT MY STOCK FUND, TAKE A TOUR, NEW TO INVESTING?, FAQ, and OPEN YOUR ACCOUNT. On the left sidebar, there are links for Customer Sign-In, Stock List, Glossary, Site Map, and Contact Us. The main content area is titled 'NEW ACCOUNT APPLICATION' and 'AFFILIATION', indicating it is 'Step 4 of 8'. It contains two questions with radio button options for 'No' and 'Yes'. The first question asks if the user or a household member is employed by a registered broker/dealer. The second question asks if the user or a household member is a director, 10% shareholder, or policy-making officer of a publicly traded company. Navigation buttons for '< Previous', 'Next >', and 'Exit' are provided. At the bottom, there is a 'RETURN TO' section with a 'Credit Union ONE' logo and a list of links: Home, Learn About My Stock Fund, Take a Tour, New to Investing?, FAQs, Open an Account, Privacy Policy, Terms of Use, and Disclaimer. The footer includes the copyright notice '©2000 MyStockFund Securities, Inc. Member NASD/SIPC.' and the website URL 'mystockfund.com'.

My Stock FundSM
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HOME LEARN ABOUT MY STOCK FUND TAKE A TOUR NEW TO INVESTING? FAQ OPEN YOUR ACCOUNT

Customer Sign-In
Stock List
Glossary
Site Map
Contact Us

NEW ACCOUNT APPLICATION **Step 4 of 8**
AFFILIATION

The Securities and Exchange Commission requires us to keep this information on file.

Are you (or is a member of your household) employed by a registered broker/dealer? If yes, include a copy of your firm's compliance letter with your signed New Account Form.

☐ No
☐ Yes

Are you (or is a member of your household) a director, a 10% shareholder or policy-making officer of a publicly traded company?

☐ No
☐ Yes

< Previous Next > Exit

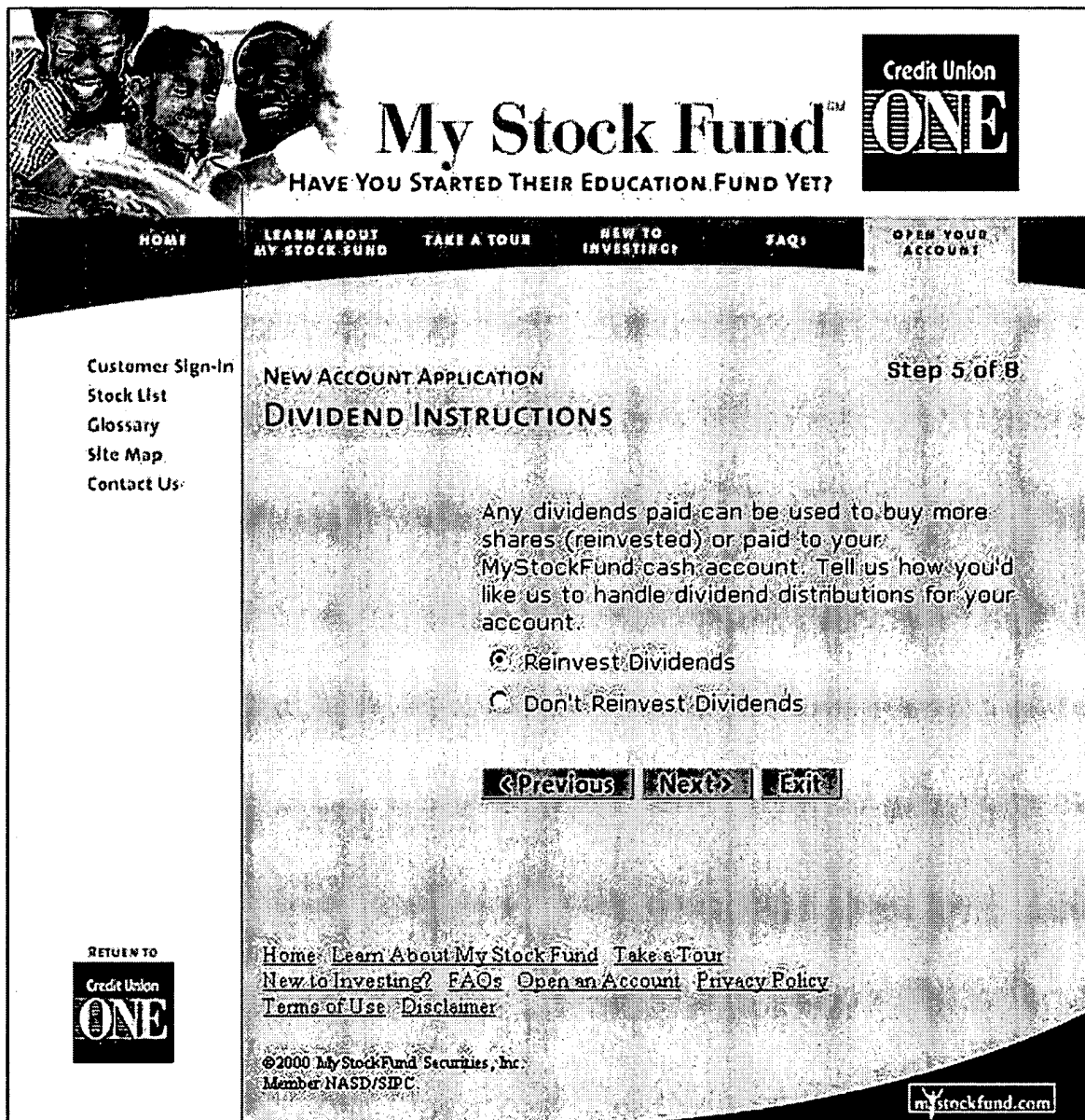
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[New to Investing?](#) [FAQs](#) [Open an Account](#) [Privacy Policy](#)
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Figure 3(c)



The screenshot displays the 'My Stock Fund' website interface. At the top, a banner features a photograph of three smiling children and the text 'My Stock Fund' with a trademark symbol. Below this is the tagline 'HAVE YOU STARTED THEIR EDUCATION FUND YET?'. To the right of the banner is the 'Credit Union ONE' logo. A navigation bar contains links: HOME, LEARN ABOUT MY STOCK FUND, TAKE A TOUR, NEW TO INVESTING?, FAQs, and OPEN YOUR ACCOUNT. On the left side, a sidebar lists: Customer Sign-In, Stock List, Glossary, Site Map, and Contact Us. The main content area is titled 'NEW ACCOUNT APPLICATION' and 'DIVIDEND INSTRUCTIONS', with a progress indicator 'Step 5 of 8'. The instructions state: 'Any dividends paid can be used to buy more shares (reinvested) or paid to your MyStockFund cash account. Tell us how you'd like us to handle dividend distributions for your account.' Below this text are two radio button options: 'Reinvest Dividends' (which is selected) and 'Don't Reinvest Dividends'. Navigation buttons 'Previous', 'Next', and 'Exit' are located below the options. At the bottom, a footer contains a 'RETURN TO' button with the 'Credit Union ONE' logo, a list of links (Home, Learn About My Stock Fund, Take a Tour, New to Investing?, FAQs, Open an Account, Privacy Policy, Terms of Use, Disclaimer), copyright information '© 2000 My Stock Fund Securities, Inc. Member NASD/SIPC', and the website URL 'mystockfund.com'.

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My Stock Fund™

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HOME LEARN ABOUT MY STOCK FUND TAKE A TOUR NEW TO INVESTING? FAQs OPEN YOUR ACCOUNT

Customer Sign-In
Stock List
Glossary
Site Map
Contact Us

NEW ACCOUNT APPLICATION

Step 5 of 8

DIVIDEND INSTRUCTIONS

Any dividends paid can be used to buy more shares (reinvested) or paid to your MyStockFund cash account. Tell us how you'd like us to handle dividend distributions for your account.

☒ Reinvest Dividends
☐ Don't Reinvest Dividends

[<Previous](#) [Next>](#) [Exit](#)

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[New to Investing?](#) [FAQs](#) [Open an Account](#) [Privacy Policy](#)
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Figure 3(d)

My Stock FundTM Credit Union **ONE**

HAVE YOU STARTED THEIR EDUCATION FUND YET?

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Customer Sign-In
Stock List
Glossary
Site Map
Contact Us

NEW ACCOUNT APPLICATION Step 6 of 8

TERMS AND CONDITIONS

MyStockFund Securities, Inc. - Terms and Conditions

These are the terms and conditions of your brokerage account with MyStockFund Securities, Inc. ("MyStockFund"). Please read this document carefully. Please retain this document for future reference, and note that this document is subject to change upon notice to you via posting on the MyStockFund website at www.MyStockFund.com or otherwise in writing.

In consideration for MyStockFund opening and maintaining this account, you agree to the terms and conditions

You must check one of the following:

☐ I am NOT subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

☐ I have been notified by the IRS that I AM subject to backup withholding.


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Figure 3(e)



My Stock FundSM
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Customer Sign-In
Stock List
Glossary
Site Map
Contact Us

ACH AGREEMENT Step 7 of 8

This form authorizes us to move money from your bank, credit union or savings and loan account to your MyStockFund cash account.

Registration of the bank, savings and loan or credit union account:

Name of Institution:

Name of Branch (if applicable):

Street:

Suite:

City:

State:

Zip: -

ABA or Routing Number: exactly 9 digits

Bank Account Number: 1 to 17 digits (hyphens optional)

Account Type: ☒ Checking ☐ Savings ☐ Other


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Figure 3(f)



My Stock FundSM ONE
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Account
Holdings
Reports
Forms
FAQs
Glossary
Site Map
Contact Us
Log Off

MyFundBuilder Update

To add a stock, enter the symbol and amount you want to invest. Then click "Add".
To change the amount you want to invest in a stock, change the amount showing.
To delete a stock from your MyFundBuilder profile, click on the appropriate checkbox under "Delete".

MYFUNDBUILDER UPDATE

Stock \$

Symbol	Name	Amount	Delete
YHOO	Yahoo! Inc.	\$20	<input type="checkbox"/>
AMD	Advanced Micro Devices	\$20	<input type="checkbox"/>
MSFT	Microsoft Corp.	\$20	<input type="checkbox"/>
CCE	Coca-Cola Enterprises	\$20	<input type="checkbox"/>
U	USAirways Group Inc.	\$20	<input type="checkbox"/>

Total: \$100

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Figure 4

Use this form to change the checking or savings account you use to pay for your MyStockFund purchases.

Once you enter in your ACH information, click the "Submit" button. We will display a form for you to print, sign and mail to us. It will take 3-5 days to process your request.

Registration of the bank, savings and loan or credit union account:

Name of Institution:

Name of Branch (if applicable):

Address:

Suite:

City:

State:

Zip: -

ABA or Routing Number: exactly 9 digits

Bank Account Number: up to 17 digits (hyphens optional)

Account Type: ☒ Checking ☐ Savings ☐ Other

Figure 5

ACCOUNT HOLDINGS

Below is a snapshot of your holdings as of close of market on the last business day.

Account Number: 46465644

Symbol	CUSIP	Stock Name	# of Shares Owned	Price/Share Previous Business Day Close	Valuation	Cost Basis	Unrealized Gain/Loss
CSCO	17275R-10-2	CISCO SYSTEMS INC	.2154	51.2500	\$11.03	\$11.00	\$0.03
KO	191216-10-0	COCA COLA COMPANY	.1755	59.5625	\$10.45	\$11.00	(\$0.55)
INTC	458140-10-0	INTEL CORP	.2948	43.9375	\$12.95	\$11.00	\$1.95
JDSU	46612J-10-1	JDS UNIPHASE CORP	.1559	62.0625	\$9.67	\$11.00	(\$1.33)
PFE	717081-10-3	PFIZER INCORPORATED	.2685	44.8750	\$12.05	\$12.00	\$0.05
TROW	741477-10-3	PRICE T ROWE & ASSOC INC	.2626	37.5625	\$9.86	\$12.00	(\$2.14)
SGP	806605-10-1	SCHERING PLOUGH CORP	.2246	54.3750	\$12.20	\$12.00	\$0.20
Totals					\$78.21	\$80.00	(\$1.79)

Home Manage My Account Stock List & Research

Figure 6